2020 PREQUALIFICATION APPLICATION

November 8, 2019

PART C. 2020 QUESTIONNAIRE SCORING

PREQUALIFICATION FOR GENERAL CONTRACTORS AND MECHANICAL, ELECTRICAL AND PLUMBING SUBCONTRACTORS

To pre-qualify, a General Contractor or Mechanical, Electrical, Plumbing, Fire Protection and Underground Pipeline Subcontractor are required to have a passing grade on the pre-qualification questionnaire. The Questionnaire has a total of 121 points possible. A minimum passing score of 88 is required.

The following is a list of the questions which will be scored and the scoring criteria for each question:

PART II. OTHER CRITERIA

1.3 Number of years your organization has conducted business under its present name and license number:

- 3 years = 2 points
- 4 years = 3 points
- 5 years = 4 points
- 6 years or more = 5 points

1.4 Is your firm currently the debtor in a bankruptcy case?

- “No” = 3 “points”  “Yes” = 0 points

1.5 Was your firm in bankruptcy any time during the last five years?

- “No” = 3 points  “Yes” = 0 points
2.5 Has any CSLB license held by your firm or its Responsible Managing Employee (RME) or Responsible Managing Officer (RMO) been suspended within the last five years?

No = 5 points. Depending on the response, the District reserves the right to deduct 5 points for a “Yes”.

3.2 On a separate attachment, list all California K-12 and California community college district construction projects completed by your organization in the past two (2) years and for each project identified, state: (i) a general description of the work performed by your organization on the project; (ii) the dollar value of the work performed or to be performed by your organization; (iii) the project owner’s name, the verifiable name of the project owner’s representative and the address and telephone number of the owner and the project owner’s representative; and (iv) the project architect’s name, address, telephone number and contact person.

If the attachment is not fully completed and submitted with this questionnaire, there will be a three (3) point deduction.

3.3 On a separate attachment, list all California K-12 and California community college district construction project your organization has in progress and for each project listed, state: (i) a general description of the work performed by your organization on the project; (ii) the dollar value of the work performed or to be performed by your organization; (iii) the project owner’s name, the verifiable name of the project owner’s representative and the address and telephone number of the project owner and the project owner’s representative; (iv) the project architect’s name, address, telephone number and contact person; (v) percent presently complete; and (vi) the current scheduled completion date.

If the attachment is not fully completed and submitted with this questionnaire, there will be a three (3) point deduction.

4.1 Claims and lawsuits (if you answer yes to any of the following, you must attach details).

4.1.1 In the last five years have any lawsuits or other administrative, legal, arbitration or other proceedings (“Claims”), ever been brought or commenced against your organization or any of its principals, officers or equity owners in connection with any construction contract or construction project?

No = 10 points Yes = subtract up to 10 points, subject to District review of the details and severity of each Claim. More than 11 Claims suspends the application pending further review.
4.1.2 In the last five years has your organization ever filed a lawsuit or commenced other administrative, legal or other proceedings in connection with any construction contract or construction project?

Subject to District review of the substance and number:

**No = 3 points**  **Yes = subtract up to 10 points**, subject to District review of the substance and number of filings. More than 30 filings in the past five years suspends the application pending further review.

4.1.3 In the last five years, civil or administrative judgments, orders, decrees or arbitration awards, either pending, outstanding or final been issued against your organization or any of the officers, directors, employees or principals of your organization?

Subject to District review of the pendency and severity of each:

**No = 15 points**  **Yes = subtract up to 10 points**, subject to District review of the nature of the proceeding, its pendency and severity of each. More than 3 suspends the application pending further review.

4.2 In the last five years has your firm, or any firm with which any of your company’s owners, officers or partners was associated, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?

**No = 5 points**  **Yes = subtract 10 points**

4.5 Has your organization ever failed to complete a construction contract?

**No = 5 points**  **Yes = subtract 5 points**

4.6 Has your organization ever been declared in default of a construction contract?

**No = 5 points**  **Yes = subtract 10 points**

5.1 In the last five years, has your firm or any of its owners, officers or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

**Yes = suspends the application pending further review.**
5.2 Has your firm or any of its owners, officers or partners ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?
   
   **Yes = suspends the application pending further review.**

6.1 Has CAL OSHA cited and assessed penalties against your firm for any “serious,” “willful” or “repeat” violations of its safety or health regulations in the past five years?
   
   - If the firm’s average gross revenue for the last three years was less than $50 million, scoring is as follows:
     
     5 points for either “No” or “Yes” indicating 1 such instance.
     3 points for “Yes” indicating 2 such instances.
     0 points for “Yes” if more than 2 such instances.

   - If the firm’s average gross revenue for the last three years was more than $50 million, scoring is as follows:
     
     5 points for either “No” or “Yes” indicating 1, 2, or 3 such instances.
     3 points for “Yes” indicating either 4 or 5 such instances.
     0 points for “Yes” if more than 5 such instances.

6.2 Has the federal Occupational Safety and Health Administration cited and assessed penalties against your firm in the past five years?
   
   - If the firm’s average gross revenue for the last three years was less than $50 million, scoring is as follows:
     
     5 points for either “No” or “Yes” indicating 1 such instance.
     3 points for “Yes” indicating 2 such instances.
     0 points for “Yes” if more than 2 such instances.

   - If the firm’s average gross revenue for the last three years was more than $50 million, scoring is as follows:
     
     5 points for either “No” or “Yes” indicating 1, 2, or 3 such instances.
     3 points for “Yes” indicating either 4 or 5 such instances.
     0 points for “Yes” if more than 5 such instances.
6.3 Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either your firm or the owner of a project on which your firm was the contractor, in the past five years?

- If the firm’s average gross revenue for the last three years was less than $50 million, scoring is as follows:
  
  5 points for either “No” or “Yes” indicating 1 such instance.
  3 points for “Yes” indicating 2 such instances.
  0 points for “Yes” if more than 2 such instances.

- If the firm’s average gross revenue for the last three years was more than $50 million, scoring is as follows:
  
  5 points for either “No” or “Yes” indicating 1, 2, or 3 such instances
  3 points for “Yes” indicating either 4 or 5 such instances.
  0 points for “Yes” if more than 5 such instances.

6.4 List your firm’s Experience Modification Rate (EMR) (California workers’ compensation insurance) for each of the past three premium years:

- NOTE: An Experience Modification Rate is issued to your firm annually by your workers’ compensation insurance carrier.
- If your EMR for any of these three years is or was 1.00 or higher you may, if you wish, attach a letter of explanation.

  5 points for three-year average EMR of .95 or less
  3 points for three-year average of EMR of more than .95 but no more than 1.00
  Deduct 5 points for any other EMR.

6.5 Within the last five years has there ever been a period when your firm had employees but was without workers’ compensation insurance or state-approved self-insurance?

  5 points for “No”.
  Depending on the explanation, the District reserves the right to deduct 5 points for a “Yes”.
7.1 Has there been an occasion during the last five years in which your firm was required to pay either back wages or penalties for your own firm’s failure to comply with the state’s prevailing wage laws?

- If the firm’s average gross revenue for the last three years was less than $50 million, scoring is as follows:
  
  5 points for either “No” or “Yes” indicating 1 or 2 such instances.
  3 points for “Yes” indicating 3 such instances.
  0 points for “Yes” and more than 3 such instances.

- If the firm’s average gross revenue for the last three years was more than $50 million, scoring is as follows:
  
  5 points for either “No” or “Yes” indicating no more than 4 such instances.
  3 points for “Yes” indicating 5 or 6 such instances.
  0 points for “Yes” and more than 6 such instances.

7.2 During the last five years, has there been an occasion in which your own firm has been penalized or required to pay back wages for failure to comply with the federal Davis-Bacon prevailing wage requirements?

- If the firm’s average gross revenue for the last three years was less than $50 million, scoring is as follows:
  
  5 points for either “No” or “Yes” indicating 1 or 2 such instances.
  3 points for “Yes” indicating 3 such instances.
  0 points for “Yes” and more than 3 such instances.

- If the firm’s average gross revenue for the last three years was more than $50 million, scoring is as follows:
  
  5 points for either “No” or “Yes” indicating no more than 4 such instances.
  3 points for “Yes” indicating 5 or 6 such instances.
  0 points for “Yes” and more than 6 such instances.

7.3 Provide the name, address and telephone number of the apprenticeship program (approved by the California Apprenticeship Council) from whom you intend to request the dispatch of apprentices to your company for use on any public work project for which you are awarded a contract by the San Francisco Unified School District.

  5 points if at least one approved apprenticeship program is listed.
  0 points for any other answer.
7.4 If your firm operates its own State-approved apprenticeship program:
(a) Identify the craft or crafts in which your firm provided apprenticeship training in the past year.
(b) State the year in which each such apprenticeship program was approved, and attach evidence of the most recent California Apprenticeship Council approval(s) of your apprenticeship program(s).
(c) State the number of individuals who were employed by your firm as apprentices at any time during the past three years in each apprenticeship and the number of persons who, during the past three years, completed apprenticeships in each craft while employed by your firm.

5 points if one or more persons completed an approved apprenticeship while employed by the firm.
0 points if no persons completed an approved apprenticeship while employed by the firm.

7.5 At any time during the last five years, has your firm been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?

- If the firm’s average gross revenue for the last three years was less than $50 million, scoring is as follows:

  5 points for either “No” or “Yes” indicating 1 or 2 such instances.
  3 points for “Yes” indicating 3 such instances.
  0 points for “Yes” and more than 3 such instances.

- If the firm’s average gross revenue for the last three years was more than $50 million, scoring is as follows:

  5 points for either “No” or “Yes” indicating no more than 4 such instances.
  3 points for “Yes” indicating 5 or 6 such instances.
  0 points for “Yes” and more than 6 such instances.